

IN THE UNITED STATES OF AMERICA
PATENT AND TRADEMARK OFFICE

APPLICANT(S): DIANE T. BAUM

TITLE: ENVIRONMENTAL AUDIT METHOD

DOCKET NO.: 16179-3

MAIL STOP PATENT APPLICATION
COMMISSIONER FOR PATENTS
P. O. BOX 1450
ALEXANDRIA, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

The following information is submitted pursuant to 37 CFR § 1.97 et seq.

Applicant, through his attorney, submits Form PTO/SB/08A, which is attached. The patents, publications or other information listed on the attached form are those of which he is aware, which he believes may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 § C.F.R. 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner.

This information is based upon information supplied by the inventor and
information in the attorney's file.



William R. Coenen, III, #48,239

Russel O. Primeaux, #37,213

Kean, Miller, Hawthorne, D'Armond,
McCowan & Jarman, L.L.P.

P.O. Box 3513

Baton Rouge, LA 70821

Tel.: (225) 387-0999

Fax No.: (225) 388-9133

Dated: 4/14/04

+

+

Approved for use through 10/31/2002, OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	Not Assigned
				Filing Date	April 14, 2004
				First Named Inventor	Diane T. Baum
				Group Art Unit	Not Assigned
				Examiner Name	Not Assigned
				Attorney Docket Number	16179-3
Sheet	1	of	1		

[illegible][illegible]

Examiner Signature	/Heidi Riviere/	Date Considered	01/14/2008
-----------------------	-----------------	--------------------	------------

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.

+

Please type a plus sign (+) inside this box → ☐

PTO/SB/08B (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known			
		Application Number	Not Assigned		
		Filing Date	April 14, 2004		
		First Named Inventor	Diane T. Baum		
		Group Art Unit	Not Assigned		
		Examiner Name	Not Assigned		
Sheet	1	of	1	Attorney Docket Number	16179-3

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
/H.R./	1	Krebsbach, Karen, "What It Takes to Protect Intellectual Property", U. S Banker Online, February 2, 2004, www.us-banker.com	
/H.R./	2	Shenn, Jody, "A 'Captive' Audience is Growing", American Banker Online, January 29, 2004, www.americanbanker.com	
/H.R./	3	Shenn, Jody "Finding Room Under Respa for Vendor Management Units", American Banker Online, January 29, 2004, www.americanbanker.com	
/H.R./	4	Environmental Data Resources, Inc. "EDR's 2001 Year in Review", (2001).	

Examiner Signature	/Heidi Riviere/	Date Considered	01/14/2008
--------------------	-----------------	-----------------	------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.